

1 **Vertical Structure of Chlorophyll-a During Marine Heatwaves in the**
2 **California Current Ecosystem**

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Abstract

In the past decades, the California Current Ecosystem (CCE) has experienced intense marine heatwaves (MHWs), which have induced significant disruptions to local phytoplankton communities. Using 30-year CalCOFI observations, we identify a previously undocumented vertical structure in chlorophyll-a concentration (CHL) response to MHWs, characterized by CHL reductions in the surface layer coupled with increases in the subsurface. By integrating observations and coupled physical-biogeochemical model products, we demonstrate that surface CHL declines are primarily attributed to suppressed nutrient upwelled to the upper ocean. Although surface irradiance increased modestly (+3.5%), light availability in the subsurface layer improved substantially (+21.7%) due to reduced phytoplankton shading at the surface. Concurrent with enhanced lateral nutrient transport, phytoplankton growth at depth was promoted during MHWs. This study highlights the pivotal role of subsurface phytoplankton dynamics in shaping the vertical CHL structure and its variability under extreme events.

Introduction

Marine heatwaves (MHWs), defined as prolonged episodes of anomalously warm seawater temperature (Hobday et al., 2016; Oliver et al., 2021), have shown increasing frequency and intensity over the past century and are projected to intensify further under global climate change (Frölicher et al., 2018; Oliver et al., 2018). Accumulating evidence demonstrates that these extreme events exert cascading impacts on marine ecosystems, including mass coral bleaching (Eakin et al., 2019), critical habitat degradation (Smith et al., 2023), ecosystem regime shifts (Wernberg et al., 2013) and unprecedented biodiversity loss (Smale et al., 2019). Moreover, MHWs are increasingly being examined along with concurrently occurring biogeochemical extremes (Capotondi et al., 2024), such as acidity and hypoxia (Gruber et al., 2021; Hauri et al., 2024; Le Grix et al., 2025), which can exacerbate the responses of sensitive marine organisms (Hauri et al., 2024; Wong et al., 2024). Such ecological disruptions fundamentally compromise the provision of essential ecosystem services (Smith et al., 2021). Given their escalating frequency, unpredictable spatiotemporal patterns, and potentially irreversible ecological consequences, MHWs have emerged as a pressing global concern (Frölicher & Laufkötter, 2018; Holbrook et al., 2019; Smith et al., 2021).

As the foundation of marine primary production, phytoplankton regulate key biogeochemical processes including carbon sequestration, nutrient cycling, and food web dynamics (Falkowski et al., 2008; Marañón, 2015). Their biomass and community structures can be significantly influenced by MHWs due to their various sensitivities to thermal anomalies, with cascading effects on productivity and functional diversity (Barton et al., 2016; Thomas et al., 2012). Previous studies demonstrated that MHWs exert spatially heterogeneous impacts on phytoplankton across regions and events (Fernández-González et al., 2022; Le Grix et al., 2020). Generally, surface CHL decreases in the tropics and mid-latitudes while it increases at high latitudes during MHWs (Noh et al., 2022), with these patterns governed by alterations in nutrient dynamics (Fernández-González et al., 2022; Hayashida et al., 2020; Zhan et al., 2023),

light availability (Le Grix et al., 2020), and iron limitation (Peña et al., 2019). Concurrently, phytoplankton community structures tend to exhibit a universal shift toward smaller species during MHWs, altering energy transfer efficiency through marine food webs (Delgadillo-Hinojosa et al., 2020; Wyatt et al., 2022; Zhan et al., 2024; Zhan et al., 2023).

The California Current Ecosystem (CCE), where CalCOFI (The California Cooperative Oceanic Fisheries Investigations; Fig.1) is located, experienced multiple severe MHWs in recent decades, driven by synergistic atmospheric-oceanic forcing mechanisms including intensified North Pacific High variations (Amaya et al., 2020; Di Lorenzo & Mantua, 2016), reduced coastal upwelling (Wei et al., 2021), and anomalous poleward current transport (Zaba et al., 2020). Therefore, a growing body of research has documented MHW-induced impacts on phytoplankton within CCE. For example, during the 2014–2015 MHW, often called “the Blob”, suppressed upwelling and intensified stratification reduced the nutrient supply to the euphotic zone, causing significant declines in phytoplankton biomass and net primary production in CCE (Cavole et al., 2016). Meanwhile, to adapt to the abnormal temperature and nutrient conditions, phytoplankton community shifted toward smaller cells (Closset et al., 2021; Delgadillo-Hinojosa et al., 2020; Landry, Freibott, Stukel, et al., 2024), and harmful algal bloom events in nearshore were also related to MHWs (Fischer et al., 2024; McCabe et al., 2016).

Increasing evidence indicates that MHWs are not confined to the surface layer but may extend to deeper waters (Capotondi et al., 2024; Zhang et al., 2023), with potentially increased duration and intensity in some case (Köhn et al., 2024; Plume et al., 2025). Therefore, vertically propagating extremes represent an emerging topic in recent MHW research (Le Grix et al., 2025; Wong et al., 2024). These studies revealed that the subsurface compound events (MHW, low oxygen and high acidity) are often associated with vertical displacements of water masses, have shown significantly intensification in the decades (Wong et al., 2024), and are projected to be enhanced under global

warming (Le Grix et al., 2025). However, the vertical response of phytoplankton communities to MHWs remains relatively understudied due to limited data availability (Viljoen et al., 2024; Yuan et al., 2024). Recent findings by Viljoen et al. (2024) have highlighted a pronounced decoupling between surface and subsurface phytoplankton biomass during the past decade of ocean warming in the Sargasso Sea. That study underscores the limitations of remote sensing observations and emphasizes the critical role of vertical structures in examining biogeochemical responses to extreme events.

Such vertical decoupling raises critical questions about the underlying mechanisms and whether they differ during short-term extreme events like MHWs compared to gradual, long-term ocean warming. In predominantly nutrient-limited systems like the CCE, the vertical structure of CHL exerts a more critical influence on marine biogeochemical cycling and carbon export potential than surface CHL alone (Cullen, 2015). However, our current understanding of MHW impacts on CHL remains disproportionately focused on epipelagic phytoplankton responses, leaving critical knowledge gaps regarding vertical heterogeneity in phytoplankton dynamics during extreme warming events, thereby hindering our ability to predict ecosystem resilience and climate feedback mechanisms.

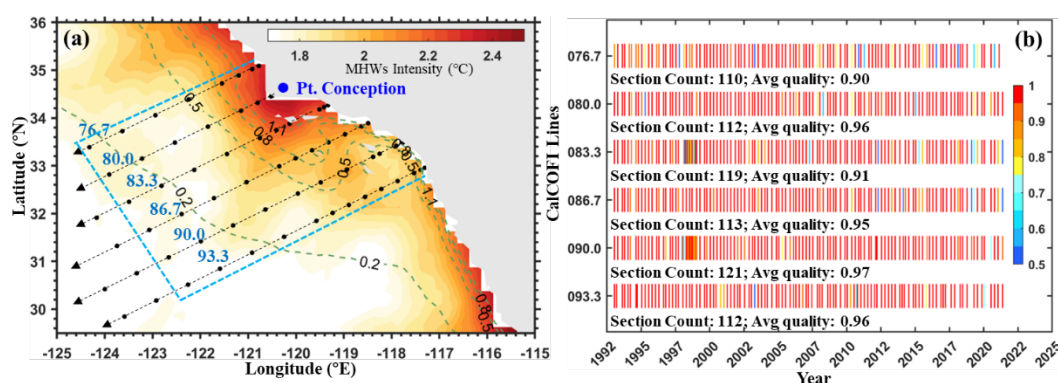


Fig.1 (a) Mean MHW intensity based on OISST from 1993 to 2022. Black dots and dashes represent the sampling stations and transect lines of CalCOFI cruises. Green dashes represent the mean surface CHL concentration from Copernicus-GlobColour (1997–2022). Blue box denotes the boundary of the CalCOFI region. (b) Sampling records and its data quality (proportion of valid data) of six CalCOFI Lines from 1993 to 2022.

In this study, we observed a previously undocumented phenomenon in the CalCOFI

region that the vertical response of CHL to MHWs is not uniform, but exhibits a distinct vertical structure characterized by negative surface anomalies overlying positive subsurface anomalies (Herein, we define the “surface” as extending from the sea surface to the subsurface chlorophyll maximum layer (SCML) depth, and the “subsurface” as the water column beneath the SCML depth). Using in situ observations from CalCOFI, we systematically characterize the vertical CHL anomaly structure and associated environmental conditions during MHWs. We further employ physical (PHY) and biogeochemical (BGC) model outputs from the Copernicus Marine Environment Monitoring Service (CMEMS) to investigate the underlying mechanisms driving this vertical pattern. This study aims to advance our understanding of vertically response of phytoplankton to MHWs and provide novel insights into their ecological consequences in upwelling systems.

Results

MHWs in CCE region

In the past 30 years (1993–2022), intense MHWs were predominantly concentrated along the coastal CCE region that contains the highest phytoplankton biomass (Fig.1a), particularly around Point Conception, where the averaged MHWs intensity exceeds 2.3°C. Correspondingly, this region experiences severe declines in surface CHL (Fig.S6 in the Supplementary Information). To characterize the temporal evolution of these events, we further examined the monthly mean MHWs intensity and spatial coverage of the study area (blue box in Fig.1a), as a function of time. The results (Fig.2a) indicate that the most severe and frequent events occurred during positive Pacific Decadal Oscillation (PDO) years (Fig.2b), which are typically associated with suppressed coastal upwelling and increased net downward surface heat flux (Ren et al., 2023). Here, a given month is labeled as “MHW month” if more than 50% of the study area experiences MHW condition and the regional mean intensity exceeds 1 °C. Based on this definition, a total of 68 MHW months were identified between 1993 and 2022. Among these, 23 CalCOFI cruises (* in Fig.2) were conducted under MHWs in CCE,

providing valuable in situ observations to assess ecosystem responses to extreme warming.

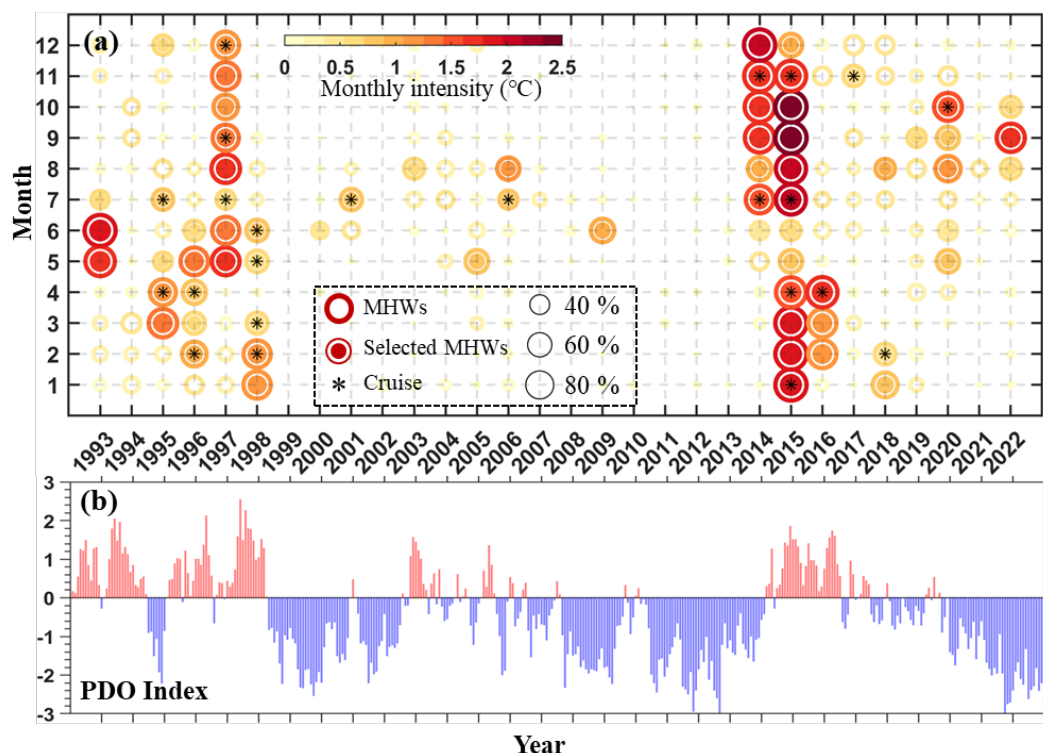


Fig.2 (a) MHW occurrences in the CalCOFI region based on OISST. Color and radius of unfilled circles represent the monthly mean intensity and spatial coverage (%) of MHWs, respectively. Filled circles indicate months when more than 50% area (Fig.1) is under MHW conditions and the regional mean intensity exceeds 1 °C, labeled as “MHW month”. Asterisks denote MHW months when CalCOFI cruises were conducted. (b) Pacific Decadal Oscillation (PDO) Index.

Vertical CHL responds to MHWs

Based on 687 sections derived from 6 CalCOFI cruise lines collected between 1993 and 2022, we computed CHL anomalies ($N = 35754$) within the 200-m depth water column during MHWs (filled circles with asterisks in Fig.2) to examine the vertical response of CHL to these extreme events (Fig.3a). Intriguingly, we observed an unexpected vertical structure in the CHL pattern, characterized by negative anomalies in the surface layer accompanied by positive anomalies at depth, which has rarely been captured in this region by previous studies relying on satellite observations or vertically integrated investigations. In order to better quantify this phenomenon, we further assessed the

vertical distribution of CHL anomalies at each depth level within the CalCOFI region (Fig.3b). The results reveal a significantly reduction in CHL (mean: 0.08–0.15 $\mu\text{g/L}$) from surface to 50 m depth, with a concurrent increase (0.01–0.05 $\mu\text{g/L}$) below 70 m depth during MHWs. The maximum negative anomalies occur at depths of 20–40 m, while the most pronounced positive anomalies were located around 70–80 m depth. Although the magnitude of subsurface positive anomalies was much smaller at individual depths compared to surface negative anomalies, their cumulative effect remains substantial when vertically integrated (-2.85 $\mu\text{g/L}$ at surface versus 1.62 $\mu\text{g/L}$ at subsurface, exceeding 50% of the surface reduction magnitude), presenting a non-negligible contribution when estimating variability of vertically integrated CHL. This vertical redistribution may help explain why no significant correlations were previously found between MHW intensity/duration and vertically integrated CHL (Chen et al., 2024), despite surface CHL showed strong statistically significant correlations with MHWs. It may also elucidate the unexpected positive depth-integrated CHL anomaly during 2015–2016 El Niño in the CCS (McClatchie et al., 2016).

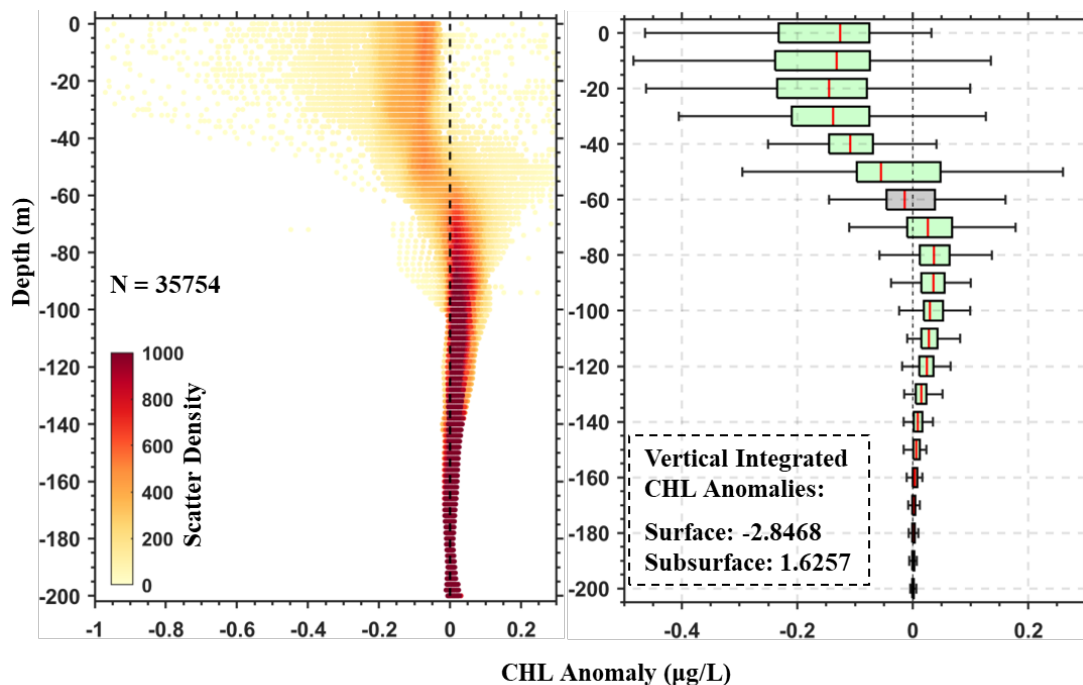


Fig.3 (a) Scatter density plot of CHL anomalies during MHWs, derived from vertical profiles at all stations across six CalCOFI lines. (b) Boxplot of CHL anomalies at 10-m depth intervals. The vertical dashed line indicates zero anomaly, and red lines denote the median. Green boxes

180 indicate depths where anomalies are statistically different from zero (T-test; $p < 0.05$).
181 Vertically integrated CHL anomalies were calculated separately for the surface layer (from
182 surface to SCML depth) and the subsurface layer (SCML depth to 200-m) at each station, and
183 then averaged across all stations within the CalCOFI region.

184 Since this phenomenon was consistently observed across all CalCOFI transects, we
185 selected Line 90.0 for detailed analysis due to its relatively higher sampling density and
186 superior data quality (Fig.1b; described in the Data and Methods) to better understand
187 the vertical structure of the CHL anomalies. Composite anomalies of all variables
188 shown in Fig.4 and Fig.5 were averaged over MHW months during which CalCOFI
189 cruises were conducted (asterisks in Fig.2).

190 Composite temperature anomalies across four seasons show that the most intense
191 warming events happened during winter and fall (Plume et al., 2025). Previous studies
192 have demonstrated that vertical advection, which is associated with wind-driven
193 reduced upwelling (Capotondi et al., 2024; Cervantes et al., 2024; Dalsin et al., 2023;
194 Fewings & Brown, 2019; Plume et al., 2025; Zhan et al., 2024; Zhang et al., 2023) and
195 deepened thermocline (Wei et al., 2021), constitutes the dominant driver of MHW
196 development in the CCE. Thus, warming signals are observed in the subsurface across
197 all four seasons, with particularly intensified warming at depth during winter due to
198 weak stratification (Köhn et al., 2024; Plume et al., 2025). Fig.4e-h illustrate the
199 seasonal distributions of CHL anomalies along Line 90.0, offering insight into
200 phytoplankton responses to MHWs. This vertically decoupled variability was detected
201 across all seasons, extending from onshore to offshore regions. The maximum reduction
202 in CHL occurs around the SCML depth (red dashed lines in Fig.4e-h), whereas CHL
203 exhibited anomalous increases below the SCML during warming events. Due to the
204 varying nutrient and physical environments between onshore and offshore, the SCML
205 does not occur at a fixed depth but deepens as the offshore distance increases.
206 Consequently, layers around 60-m depth (gray bar in Fig.3b) contain positive anomalies
207 onshore but negative anomalies offshore, resulting in no statistically significant change
208 during MHWs when anomalies are depth-aggregated.

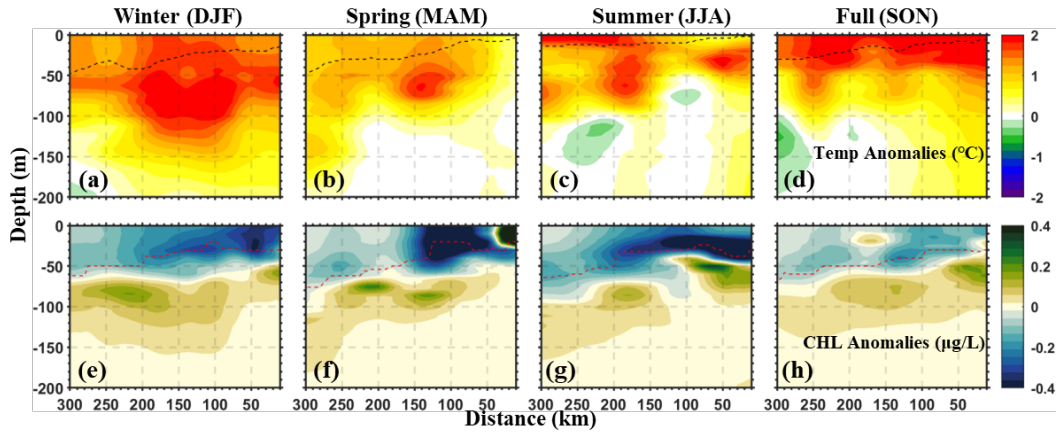


Fig.4 Seasonal anomalies of temperature (a-d) and CHL (e-h) during MHWs along CalCOFI Line 90.0 (DJF, MAM, JJA, SON represent winter: December–February, spring: March–May, summer: June–August, and fall: September–November, respectively). Black and red dashed lines denote mixed layer and subsurface chlorophyll maximum layer (SCML) depth, respectively. Anomalies were calculated based on data from MHW months during which CalCOFI cruises were conducted (asterisks in Fig.2)

This finding highlights a decoupled vertical structure in CCE phytoplankton dynamics during MHWs, whereby subsurface phytoplankton biomass anomalies contradict the conventional paradigm of the reduced CHL pattern. The significant negative anomalies of surface CHL, which have been widely reported in the CCE, were mainly driven by reduced nutrient availability due to weakened upwelling (Landry, Freibott, Beatty, et al., 2024; Landry, Freibott, Stukel, et al., 2024; Zhan et al., 2024). However, the subsurface positive anomalies have rarely been documented, and the underlying mechanism remains unclear, challenging our understanding of MHW-induced ecological disturbances in the CCE. Therefore, the remainder of this study focuses on elucidating the mechanisms governing subsurface CHL enhancement during MHWs in this eastern boundary upwelling system.

Environmental Anomalies During MHWs

Vertical variability in CHL is regulated by both hydrodynamic and biogeochemical processes (Cullen, 2015). Thus, we examined the variability of key environmental variables during MHWs along CalCOFI Line 90.0 (Fig.5a-h) to explore the potential drivers of subsurface CHL anomalies. Composite temperature anomalies reveal that the

most intense warming occurs 100–200 km offshore, extending from the surface to the ~100 m depth. In addition, salinity significantly increased in the upper 100 m layer, possibly due to the enhanced evaporation from warmer seawater or to weakened transport of fresher waters from the north. Below 100 m depth, salinity shows a negative anomaly at a similar distance (100–200 km from the coast), and its distribution indicates that the negative salinity anomaly originates from deeper layers. According to the abovementioned mechanism of the MHWs in this region as well as the distribution of warming signal, these salinity anomalies indicate a reduction in the upward transport of salt deep water—consistent with suppressed upwelling. Additionally, negative salinity anomalies near the offshore boundary of the transect imply that lateral intrusions may also contribute to the observed freshening, as projections under future warming scenarios have indicated (Rykaczewski & Dunne, 2010).

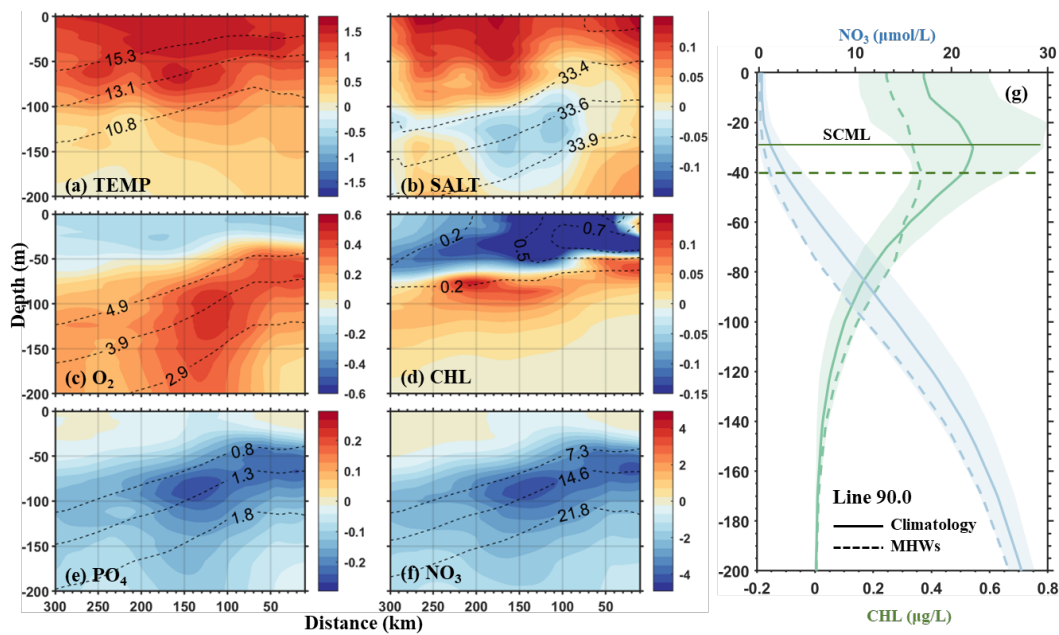


Fig.5 (a-f) Composite anomalies of temperature (TEMP; °C), salinity (SALT; PSU), dissolved oxygen (O₂; ml/L), chl (μg/L), phosphate (PO₄; μmol/L) and nitrate (NO₃; μmol/L) during MHWs, with contours representing climatological values. (g) Vertical profiles of chl (green), SCML (dark green) and nitrate concentration (blue) under climatology (solid lines) and MHWs (dash lines) states, averaged along offshore distance of CalCOFI Line 90.0.

For the nutrient environment, as expected, phosphate and nitrate show consistent declining patterns along their respective isolines throughout the water column during

MHWs, with the strongest reductions occurring between 50 and 100 m depth, coinciding with the depths of maximum CHL positive anomalies. Along the cross-shore direction, nutrient depletion was most pronounced between 100 and 200 km from the coast, where suppressed upwelling occurred. These nutrient patterns likely reflect a combined effect of weakened upwelling and enhanced consumption by increased subsurface phytoplankton biomass. Positive oxygen anomalies exhibited a similar subsurface pattern with the nutrients, further supporting the interpretation that observed nutrient reductions are driven by both physical and biogeochemical processes. Notably, other biogeochemical processes, such as the remineralization and grazing, may also contributed to the patterns of CHL, oxygen and nutrients during MHWs. However, in this study, we mainly focus on the possibly variations driving by the growth and mortality of the phytoplankton.

Overall, compared with climatological conditions, the SCML deepened by approximately 8 m (Fig.5i), and the average nitracline depth (defined as the depth where nitrate concentration first exceeds 1 $\mu\text{mol/L}$) also deepened from 21 to 33 m depth during MHWs. Such shifts are consistent with prior findings in this region (Chen et al., 2024; Landry, Freibott, Beatty, et al., 2024).

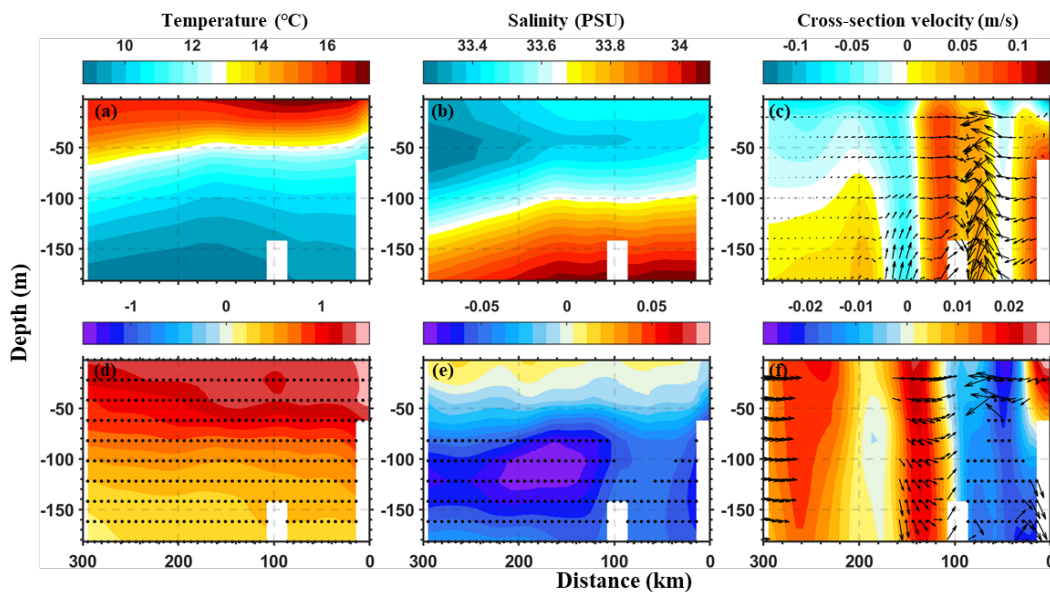


Fig.6 Climatological (a-c) profiles of temperature, salinity and cross section velocity and their

composite anomalies (d-f) during MHWs along CalCOFI Line 90.0. Black dots denote the anomalies are significantly different from zero (T-test; $p < 0.05$). Arrows in (c) and (f) represent the mean along-section and vertical velocities and their anomalies (only shown when anomalies in both directions are significantly different from zero), vertical component of velocity is multiplied by 1000 for better visualization. Note that the composite anomalies are calculated by the same MHW month as Fig.5.

To further support our descriptions of local physical and biogeochemical variability, we incorporated output from CMEMS BGC and PHY numerical models. Prior to utilizing BGC-derived variables, we conducted comprehensive validation against satellite and in-situ observations (see Text S1 in the Supplementary Information). In general, the model demonstrates satisfactory performance in simulating CHL dynamics within the study region (Fig.S1-S2) and, critically, it successfully reproduces the vertically decoupled CHL structure and associated nutrient-oxygen patterns observed during MHWs (Fig.S3), showing good agreement with the CalCOFI data (Fig.5). Outputs from the PHY model were not further validated, as its skill has been well established in this region (Alexander et al., 2023; Amaya et al., 2023; Amaya et al., 2020).

Vertical profiles of simulated physical variables clearly reproduce the key dynamic processes described above (Fig.6). Maximum salinity anomalies occurring 100–200 km offshore below 100 m depth exhibit clearer extension both towards the depth and further offshore (Fig.6e). A significant upwelling zone indeed exists within this offshore band, with its intensity significantly reduced during MHWs (Fig.6f). Additionally, enhanced eastward advection was also observed offshore, potentially transporting fresher waters toward the upwelling region. Notably, anomalies in Fig.6 were computed as the deviations from monthly climatology (whereas those in Fig.5 are based on seasonal climatology derived from observations).

Discussion

The subsurface environmental conditions described above explain the mechanisms driving the observed positive CHL anomalies. While the composite patterns in nutrients and oxygen anomalies likely result from weakened upwelling combined with enhanced

phytoplankton activity, they do not directly account for the positive anomalies in subsurface phytoplankton biomass. To better understand the drivers of enhanced subsurface CHL during MHWs, it is necessary to further investigate the event-scale dynamics shaping this response. We therefore focused on the representative 2014–2015 MHW in the CCE to investigate the key mechanisms underlying this vertical structure.

Case study of the 2014–2015 MHW in the CCE

Unlike the large-scale North Pacific warming event (“the Blob”), the 2014–2015 MHW along the California Coast are primarily driven by intermittent air-sea heat flux, horizontal and vertical heat advection anomalies (Zaba & Rudnick, 2016; Zaba et al., 2020). Specifically, MHW in 2014 was driven by both anomalous vertical heat advection and air-sea heat flux (Zaba & Rudnick, 2016), whereas in 2015, alongshore heat advection (increased poleward volume transport and warmer-than-average temperature of the California Undercurrent) also contributed to the anomalous warming, in addition to the aforementioned drivers (Zaba et al., 2020). In addition, both years featured notable positive cross-shore heat advection anomalies (Zaba et al., 2020). With the primary physical drivers of the 2014–2015 MHW established, we next investigate the vertical CHL dynamics and associated environmental parameters within the CalCOFI region (Fig.7). Anomalies are calculated as deviations from monthly climatology (averaged over the CalCOFI region), with long-term signals (more than 5 years) removed using a high-pass Butterworth filter.

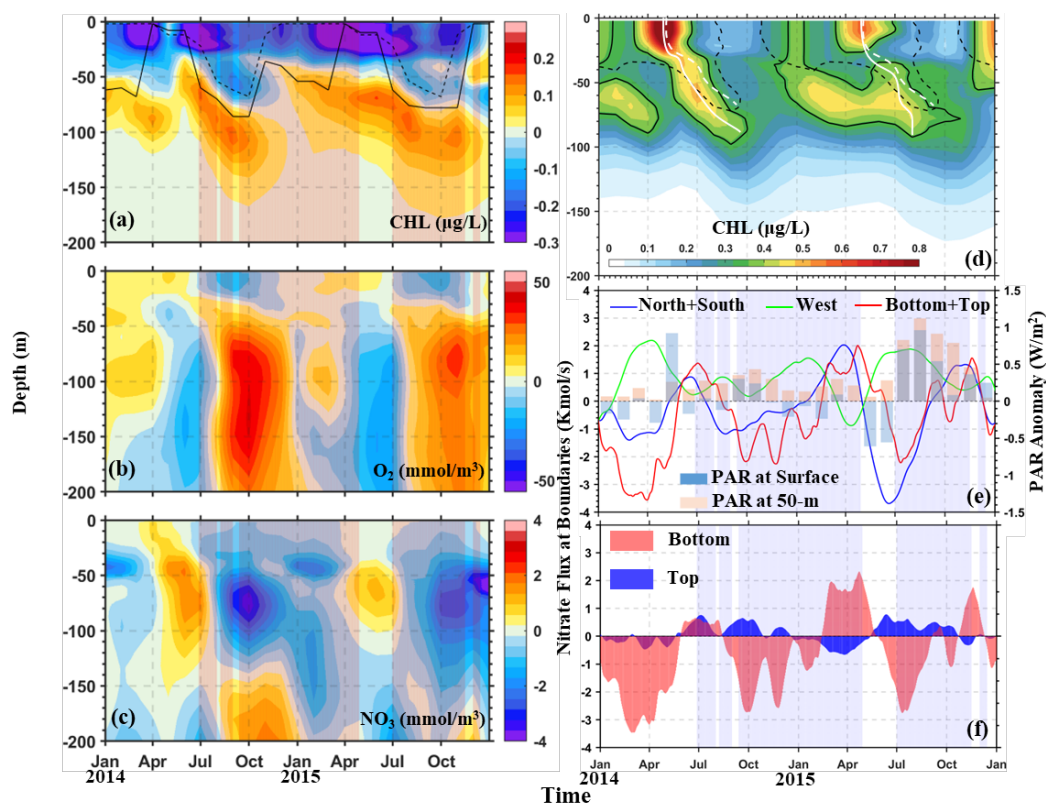


Fig.7 (a-c) Vertical anomalies of simulated CHL, dissolved oxygen and nitrate, averaged over the CalCOFI region, shown as a function of time. Dashed and solid lines in (a) refer the climatological and real SCML depth, respectively. (d) Vertical CHL distribution from 2014 to 2015. Dashed and solid contours (white lines) represent the climatological and real 0.4 $\mu\text{g/L}$ CHL isoline (SCML), respectively. PAR at surface is divided by 5 for visualization. (e) Nitrate flux anomalies across the five boundaries of the CalCOFI region: alongshore (blue), cross-shore (green), and vertical (red), with positive values indicating inward transport. Blue and red bars denote anomalies of PAR at the surface and 50-m depth, respectively. (f) Nitrate flux anomalies across bottom and top boundaries.

Model simulations revealed that positive subsurface CHL anomalies initially occurred around 50 m depth and progressively deepened to ~ 150 m as the MHW developed (Fig.7a). More specifically, the seasonal chlorophyll bloom that typically originates in April 2014 and persists through August in climatology, was suppressed before July due to MHW disruption (solid and dashed black contours in Fig.7d). Concurrently, phytoplankton exhibited deeper and more pronounced subsurface growth (solid and dashed white lines in Fig. 7d), contributing to the positive anomaly. This anomaly attenuated with the seasonal shoaling of the SCML around November (black lines in Fig.8a). During a subsequent proliferation phase in January 2015 (Fig. S5), surface

productivity was again suppressed under stronger MHW conditions (Fig. S4), with enhanced growth occurring below 50 m depth.

Our analysis of environmental variable anomalies in the CCE, integrated with established theoretical frameworks of phytoplankton dynamics (Cullen, 2015; Landry, Freibott, Beatty, et al., 2024; Landry, Freibott, Stukel, et al., 2024; Zhan et al., 2023; Zheng et al., 2024), suggests that nutrient dynamics is a primary driver governing vertical CHL variability. However, the phytoplankton biomass would be expected to decline rather than show positive anomalies as the SCML deepens due to reduced nutrient availability in deep waters (Beckmann & Hense, 2007). In such cases, light availability may become another dominant factor, especially when phytoplankton grow below the climatological euphotic depth. In highly productive coastal system like CCE, underwater light conditions depend not only on surface irradiance but also on vertical light penetration, which is strongly influenced by water turbidity and the phytoplankton self-shading effect (Kavanaugh et al., 2009; Shigesada & Okubo, 1981). Thus, we quantified the Photosynthetically Active Radiation (PAR), and nitrate flux across the five boundaries of the CalCOFI region (Fig.7f) to further assess the contributions of light availability and nutrient supply to the subsurface CHL during MHWs. Surface PAR data were obtained from the MODIS PAR product, while subsurface PAR was calculated using the same three-waveband light penetration scheme as CMEMS-BGC model. Advection and diffusion are the major physical processes that control the nitrate flux in the water column. In upwelling system like the CCE, the contribution of diffusion is generally considered minor compared to advection (Moreira-Coello et al., 2017; Mouriño-Carballido et al., 2021). Thus, we mainly focus on the advection term in this study.

Positive nitrate anomalies were observed between 50 and 100 m depth prior to the onset of both MHWs in June 2014 and 2015 (Fig.7c), potentially creating a more favorable nutrient environment for phytoplankton growth here. Nitrate flux calculations revealed positive anomalies across all three spatial dimensions (cross-shore: westward;

alongshore: northward + southward; vertical: upward + downward) in June 2014, and the positive vertical flux resulted from both enhanced import through the bottom boundary and reduced export through the upper boundary. In June 2015, elevated nitrate input occurred primarily through vertical and cross-shore transport (Fig.7f). Subsequently, nitrate anomalies for both events diminished as the MHW progressed, with vertical and alongshore nitrate flux anomalies turning negative. Positive cross-shore nitrate fluxes were observed during nearly all events between 2014 and 2015, closely aligning with net heat advection in the same direction (Zaba et al., 2020).

Regarding the light conditions, significantly positive PAR anomalies were observed at 50 m depth throughout the 2014–2015 events, while sustained surface PAR enhancement occurred during the latter event (July to December 2015). Compared to the surface, subsurface PAR showed stronger correspondence with the vertical distribution of positive CHL anomalies, which exhibited progressive deepening in response to two distinct phases of subsurface PAR enhancement (June–October 2014 and January–September 2015). These results indicate that, although the subsurface light condition can be directly influenced by the surface irradiance, phytoplankton productivity at depth is more sensitive to light availability within these specific layers, and the self-shading effect of the phytoplankton can significantly alter the light penetration throughout the water column during MHWs. While the depth of the positive CHL anomalies aligned well with the PAR anomalies at 50-m depth, light availability alone cannot fully explain the observed subsurface phytoplankton activity. For example, the positive subsurface CHL anomaly reached its maximum intensity at 60 m depth in May 2015, despite the absence of significant subsurface PAR enhancement. In this case, enhanced productivity was primarily supported by positive nitrate anomalies transported via upwelling and cross-shore advection. Specifically, when along-shore and vertical nitrate flux anomalies were negative in June and July 2015, nitrate anomalies still remained positive due to cross-shore nitrate flux supply, suggesting the non-negligible contribution of lateral nutrient supply during warming events.

These findings suggest that light condition variations induced by atmospheric processes and phytoplankton self-shading effect might influence both the depth and intensity of subsurface phytoplankton growth during MHWs. However, vertical and cross-shore nitrate fluxes act as critical additional drivers sustaining subsurface productivity under these anomalous conditions.

Background Condition During MHWs

Building upon the detailed examination of specific 2014–2015 MHW events in the CCE, we further investigated the background states of these potential controlling factors during all MHWs from 1993 to 2022. Mean net nitrate flux anomalies across the five boundaries during MHWs are shown in Table 1. Compared to climatological conditions, a significant net influx of nitrate was observed from the western boundary during MHWs (1.90 kmol/s; $P < 0.01$), while no significant anomalies were found at the northern or southern boundaries. Vertically, less nitrate (-1.02 kmol/s; $P < 0.01$) was upwelled into subsurface water through the bottom boundary and reduced nitrate (-0.38 kmol/s; $P < 0.01$) was exported through the top boundary, reflecting to the suppressed upwelling during MHWs. These results suggest that, although vertical nutrient supply was substantially weakened, increased lateral input from the western boundary partially offsets the overall nutrient deficit. It can be anticipated that without this supplementary input, local nutrient levels and thus phytoplankton productivity, would likely have declined even further. This finding aligns with previous projections based on climate models, which suggest that climate-driven increases in nutrient-rich water transport through the western boundary could enhance productivity in the CCE under future warming scenarios (Rykaczewski & Dunne, 2010). Our results, derived from observed extreme warming events, support this type of local mechanism and underscore the pivotal role of lateral nutrient transport in sustaining ecosystem productivity under MHW conditions.

Table 1. Net nitrate flux anomalies (unit: kmol/s) at five boundaries and their p-values (T-test), directions into the CCE region are positive.

Boundary	South	North	West	Bottom	Top
Net Nitrate Flux Anomalies	1.81	-0.83	1.90	-1.02	0.38
P-value	0.11	0.40	< 0.01	< 0.01	< 0.01

Moreover, light condition in the subsurface layers showed varying degrees of enhancement under MHWs (Fig.8a), particular during 2014–2016 MHW, the average PAR increment throughout the water column exceeded 4 W/m². In order to further examine whether this light enhancement result from increased surface irradiance influenced by atmospheric processes, or reduced self-shading effect of the suppressed surface phytoplankton biomass, we examined PAR at two layers for every event. As shown in Fig.8b, most MHW months exhibited positive PAR anomalies at 50 m depth, with only two exceptions showing negative values. Surface PAR also increased in the majority of events. On averaged, surface PAR in the CalCOFI region increased by 3.53% ($p < 0.01$; T-test), likely due to reduced cloud cover and enhanced incoming solar radiation (Myers et al., 2018). However, the reduction of the surface phytoplankton significantly enhanced the light penetration in the water column, causing the subsurface PAR to significantly increase by 21.7%, which provide better light availability for the phytoplankton growth at depth. Further support for this mechanism comes from the co-location of normalized CHL and PAR anomalies during MHWs. Specifically, positive CHL anomalies emerged at depths where normalized PAR anomalies reached their maximum (shaded purple area in Fig.8b), highlighting the tight coupling between subsurface light availability and phytoplankton growth.

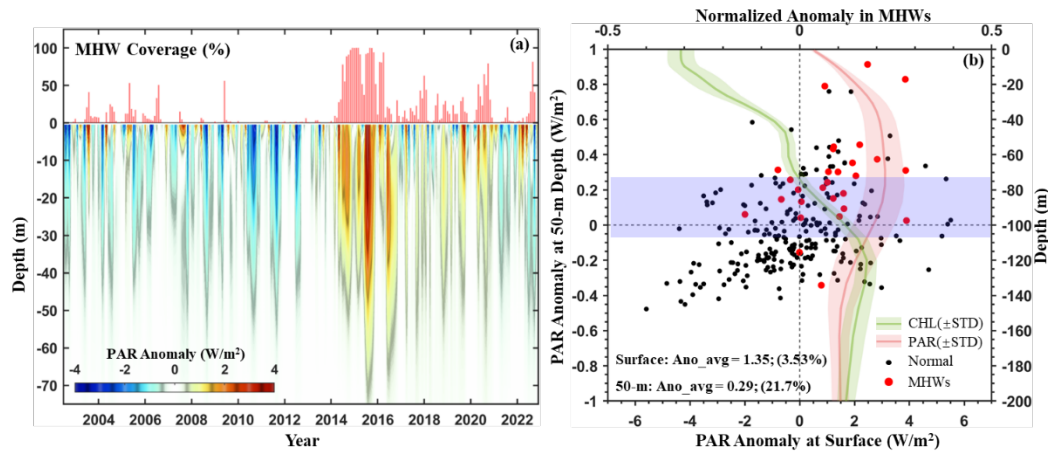


Fig.8 (a) Timeseries of vertical light conditions. Red bars represent the monthly coverage of the MHW area (%). Shading denotes the PAR anomalies at different depth. (b) Black (red) dots represent the monthly PAR at surface and at 50-m depth under normal (MHW) condition. Green and red line refer normalized anomalies of CHL and PAR under MHWs. All values here are regionally averaged over the CalCOFI region.

Thus, the mechanisms underlying the vertical structure of CHL response to MHWs in the CCE become evident. Suppressed upwelling limits the nutrient delivery to surface waters, leading to a marked decline in surface CHL. Simultaneously, enhanced lateral advection of nutrient-rich waters through the western boundary provides an additional nutrient source to the subsurface layers. Improved light penetration driven by decreased surface phytoplankton biomass, combined with increased surface irradiance, further enhance light availability in subsurface waters. The co-occurrence of lateral nutrient supply and improved light conditions promotes phytoplankton growth at depth, ultimately driving the anomalous enhancement of subsurface CHL during MHWs in the CCE.

However, despite the supplementary lateral input, composite nutrient anomalies during MHWs remain negative, suggesting that lateral nutrient import may not fully compensate for the reduced vertical supply. Additionally, under low-light conditions, the efficiency of nutrient utilization decreases (Cloern, 1999), meaning that intensified phytoplankton productivity at depth consumes greater amounts of available nutrients, further exacerbating local nutrient depletion.

The vertical heterogeneity in CHL responses to climate change and extreme events has attracted increasing attention very recently. For example, in the open Arctic Ocean, diatom blooms have been observed near the seafloor rather than at the surface, owing to improved light conditions at depth (Shiozaki et al., 2022). In the Sargasso Sea, subsurface phytoplankton biomass became increasingly decoupled from the surface community under long-term warming and enhanced stratification, leading to pronounced subsurface CHL enrichment despite surface depletion, with total phytoplankton carbon showing an overall increase over the past decade (Viljoen et al., 2024). Similarly, phytoplankton biomass in the central tropical Indian Ocean exhibits contrasting seasonal variability between surface and subsurface layers (Hu et al., 2022). Considering the projected increase in both intensity and frequency of MHWs on global scales and in CCE region (Frölicher et al., 2018; Gomes et al., 2024; Oliver et al., 2018), developing a comprehensive understanding of vertical CHL dynamic during MHWs is crucial for predicting ecosystem responses under future ocean climate scenarios.

Conclusion

In this study, we integrated long-term CalCOFI cruise observations, satellite remote sensing data, and coupled physical-biogeochemical model products to investigate the vertical CHL response to MHWs in the CCE. Our results revealed that the vertical response of CHL to MWHs is not uniformly decreased, but exhibits vertical structure with decreases in the surface layer and increases in the subsurface layer. This pattern is inherently challenging to detect through satellite-based observations. Consistent with previous studies, the reduction in surface CHL is primarily attributed to suppressed nutrient supply due to weakened upwelling. In contrast, the increase of subsurface CHL is linked to two key processes: (i) enhanced lateral nutrient transport through the western boundary, which provide additional nutrient supply under low-level nutrient conditions caused by reduced upwelling; (ii) improved light availability at depth, resulting from both increased surface irradiance and enhanced light penetration due to reduced surface phytoplankton biomass.

To date, satellite remote sensing remains the most effective way to monitor phytoplankton dynamics in various spatial scales. However, it is inherently limited to near-surface signals and may miss significant subsurface variability, where phytoplankton communities are often more abundant and more sensitive to environmental perturbations (Viljoen et al., 2024). Our findings suggest that subsurface phytoplankton may play an important role in shaping the vertical CHL structure and its response to extreme climate events. These insights provide valuable perspectives for interpreting biogeochemical variability and assessing ecosystem responses across trophic levels under future ocean warming scenarios (Chen et al., 2024; Iglesias et al., 2024).

Finally, we must acknowledge the limitations of this study, particularly our exclusion of biological processes such as zooplankton grazing and physiological behavior of phytoplankton, which remain difficult to observe and simulate accurately. Further, enhanced in-situ observations, such as those carried out by the CCE-LTER in process cruises (Ohman et al., 2013; Stukel et al., 2025), and systematic sensitivity experiments using advanced coupled physical-ecological models in the CCE (Cordero-Quirós et al., 2022; Cordero-Quirós et al., 2019; Franks et al., 2013; Miller et al., 2015) are necessary for comprehensive quantification of the regulatory mechanisms governing these complex vertical CHL dynamics during MHWs.

Data and Methods

In Situ Sampling Data

In situ observations were obtained from the bottle database collected during quarterly CalCOFI cruises, including 6 transect lines (93.3 to 76.7) in the CCE (Fig.1a). Vertical profiles of seawater temperature, salinity, dissolved oxygen, chlorophyll-a concentration and nutrients (phosphate, nitrite) from 1993 to 2022 were analyzed. The anomalies of these environmental variables during MHWs were computed as deviations from seasonal climatology for each transect.

Although CalCOFI generally conducts four cruises per year, sampling frequency has varied due to occasional cancellations or additions (e.g., monthly sampling along Line 90.0 in 1998). Among all lines, Line 90.0 recorded the highest number of valid transects (121; Fig. 1b).

To further evaluate the quality of observed CHL data, we first interpolated vertical chlorophyll-a profiles from each station onto a standardized 0–200 depth grid. Depths with valid data were marked as 1, and those without data were marked as 0. For each station, depths that contained valid data in at least three cruises were defined as climatologically valid layers. The quality of each profile was then calculated as the percentage of these valid layers that were successfully sampled during a given cruise. Results showed that Lines 80.0, 86.7, 90.0, and 93.3 had consistently high data quality, with Line 90.0 standing out due to both dense sampling and superior quality. Therefore, we selected Line 90.0 for detailed analysis.

Satellite Surface CHL Data

Surface CHL data were derived from the Copernicus-GlobColour multi-sensor merged product (OCEANCOLOUR_GLO_BGC_L4_MY_009_104), integrating observations from SeaWiFS, MODIS, MERIS, OLCI, and VIIRS sensors. It provides daily 4-km resolution surface CHL from Aug 1997 to present, and has been widely employed both for global and regional CCE applications (Mélin et al., 2016; Stone et al., 2020).

Physical and Biogeochemical Model Outputs

To further investigate the dynamic mechanisms underlying this MHWs phenomenon, higher-resolution fields were required. Therefore, we incorporated model outputs from the Copernicus Marine Environment Monitoring Service (CMEMS) to assist our analysis. The physical reanalysis product (hereafter referred to as CMEMS-PHY) utilized here was derived from eddy-resolving GLORYS12V1 system (GLOBAL_MULTIYEAR_PHY_001_030), which provides data-assimilated global ocean fields at a horizontal resolution of $1/12^\circ$ and 50 vertical levels, spanning from

1993 to two months prior to the present. This product has demonstrated improved fidelity in simulating physical processes in the CCE region (Amaya et al., 2023), and can therefore provide seawater temperature, salinity and ocean currents for this study. The biogeochemical model outputs (CMEMS-BGC) are obtained from the Global Ocean Biogeochemistry Hindcast product (GLOBAL_MULTIYEAR_BGC_001_029), which is based on PISCES model (Aumont et al., 2015) and forced by FREE-GLORYS2V4 ocean physical hindcast produced at Mercator-Ocean. Notably, no assimilation was applied to this BGC product. It provides daily chlorophyll-a, nitrate, phosphate, silicate, dissolved oxygen and primary production on a uniform 0.25° horizontal grid with 75 standard levels, over the same temporal extent as physical product. Prior to the analysis, variables from the physical products were interpolated onto the same spatial grid of the biogeochemical products to ensure consistency in data format.

SST Data and MHW Identification

We adopted a widely used approach (Hobday et al., 2016) to define MHW periods, which is when the Sea Surface Temperature (SST) exceed the 90th percentile of the local climatology for at least 5 days, over the period 1993–2022. The SST data were obtained from The daily Optimum Interpolation Sea Surface Temperature (OISST) analysis product (Reynolds et al., 2007), with a spatial resolution of 0.25° and spanning from 1920 to the present. Given that the rate of acclimatization or adaptation to warmer conditions is generally assumed to be lower than the current rate of ocean warming for most ecosystems (Cullen, 2015; Oliver et al., 2021), we used the period from 1982 to 2022 as a fixed baseline for constructing the climatology. In the following discussion, a month is labeled as an “MHW month” if more than 50% of the study area (Fig.1) is under MHW condition and the regional mean intensity exceeds 1 °C. Note that the long-term trend of SST from 1982-2022 has been removed before identification based on the recommendation of (Smith et al., 2025).

Nutrient Supply and Light Availability

As nitrate is the main nutrient limiting primary production in the CCE (Rykaczewski & Dunne, 2010), we evaluated impacts of nutrient supply on CHL dynamics by quantifying net nitrate flux transported into the CalCOFI region through five boundaries, including three horizontal boundaries (south, north, west; blue box in Fig.1a) and two vertical boundaries (bottom: 200 m depth; top: 50 m depth). Nitrate fluxes were estimated as the product of daily nitrate concentration (CMEMS-BGC) and velocity (CMEMS-PHY), integrated over the entire cross-sectional area. Notably, advective fluxes directed into the control volume are positive (i.e., poleward flow at southern boundary, equatorward flow at northern boundary, eastward flow at western boundary, upwelling at bottom boundary and downwelling at top boundary).

Light availability in this study is quantified using Photosynthetically Active Radiation (PAR). Monthly mean surface PAR from 2002 to 2022 was obtained from 4-km MODIS (Terra + Aqua; MCD18A2) PAR product, which applies a multi-temporal surface reflectance algorithm and a look-up table (LUT) approach to estimate incident PAR (Liang & Wang, 2017). Vertical PAR profiles were computed by the surface MODIS PAR, using the same three-waveband light penetration scheme (Lengaigne et al., 2007) as the CMEMS-BGC model (Aumont et al., 2015), incorporating the effects of phytoplankton biomass and self-shading based on CHL profiles from the CMEMS-BGC output.

Date Availability

CalCOFI in-situ observations used in the study were obtained from <https://calcofi.org/data/oceanographic-data/bottle-database/>. SST data were downloaded from National Centers for Environmental Information (<https://www.ncei.noaa.gov/products/optimum-interpolation-sst>). BGC and PHY model products were derived from Copernicus Marine Data Store (<https://data.marine.copernicus.eu/products>). MODIS surface PAR product was downloaded from <https://oceandata.sci.gsfc.nasa.gov/>. Light penetration module can be found at <https://forge.nemo-ocean.eu/nemo/nemo/-/tree/main/src/OCE/TRA>.

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Author contributions

J.L. and A.M designed the study. J.L conducted the analyses and wrote the first draft of the manuscript under A.M.'s instruction. D.A., P.B. and Q.W. were involved in improving the manuscript. Y.G. and P.L. provided fundings for the study.

848 **Competing interests**

849 The authors declare no competing interests.